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OCT 04 2001

September 26, 2001 Technology Center 2600

Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

on Spt. 27, 2001

Signature Chris Ray

Chris Ray

Re: Applicant(s): Borgstrom, et al.  
Serial No. 09/703,351  
Filing Date: October 31, 2000  
For: METHOD AND SYSTEM FOR CONFIGURING AND UNLOCKING AN ELECTRONIC READING DEVICE  
Docket No.: 34650-604PT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

1. Information Disclosure Statement
2. Form PTO-1449
3. References A1 - A3, and B1 - B6
4. Postcard acknowledgment

Please address all communications related to this to:

Spencer C. Patterson  
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1445 Ross Avenue, Suite 3200  
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In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447. This letter is being filed in duplicate to facilitate processing.

Respectfully submitted,

Spencer C. Patterson  
Registration No. 43,849

SCP:cr  
Enclosure(s)



#5  
DEB 118  
10-5-01

Docket No. 34650-604PT  
P13396

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Borgstrom, et al. ) Group Art Unit: 2623  
Serial No.: 09/703,351 ) Examiner: Unknown  
Filed: October 31, 2000 )

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ELECTRONIC READING DEVICE

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on	Sept. 27, 2001
Signature	Chris Ray

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's duty under 37 C.F.R. § 1.56 and 1.97, Applicant hereby submits the attached form PTO-1449 (modified) which lists art cited. The art listed therein, while of some relevance, is not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. This statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this statement discloses only the best art of which the Applicant is aware.

In considering the art set forth below, it may be noted by the Examiner that certain of the references may contain markings, underlinings or other notations. These markings or notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the references. Any such markings were either present on the copies of the references

obtained by Applicant, or were made thereon during the study of the references by the Applicant and/or his attorneys.

The Applicant notes that the DE 3427067 and DE 4212990 references are not in the English language. These references are believed to be potentially relevant in that they were cited in a PCT International Search Report for a related application.

The Examiner is respectfully requested to consider each of the cited references, indicate such consideration by initialing each reference on the enclosed Form PTO-1449 (modified) and return a copy of the same with the next communication to the Applicant. For the convenience of the Examiner in considering the references, copies of the cited references are enclosed with this communication.

CERTIFICATION

The undersigned hereby certifies under 37 C.F.R. §1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Spencer C. Patterson', with a long horizontal flourish extending to the right.

Spencer C. Patterson  
Reg. No. 43,849

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